

# On the classification of infinite-dimensional irreducible Hermitian-symmetric affine coadjoint orbits

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## Abstract

In the finite-dimensional setting, every Hermitian-symmetric space of compact type is a coadjoint orbit of a finite-dimensional Lie group. It is natural to ask whether every infinite-dimensional Hermitian-symmetric space of compact type, which is a particular example of an Hilbert manifold, is transitively acted upon by a Hilbert Lie group of isometries. In this paper we give the classification of infinite-dimensional irreducible Hermitian-symmetric affine coadjoint orbits of simple connected  $L^*$ -groups of compact type using the notion of simple roots of non-compact type. The key step is, given an infinite-dimensional symmetric pair  $(\mathfrak{g}, \mathfrak{k})$ , where  $\mathfrak{g}$  is a simple  $L^*$ -algebra of compact type and  $\mathfrak{k}$  a subalgebra of  $\mathfrak{g}$ , to construct an increasing sequence of finite-dimensional subalgebras  $\mathfrak{g}_n$  of  $\mathfrak{g}$  together with an increasing sequence of finite-dimensional subalgebras  $\mathfrak{k}_n$  of  $\mathfrak{k}$  such that  $\mathfrak{g} = \overline{\cup \mathfrak{g}_n}$ ,  $\mathfrak{k} = \overline{\cup \mathfrak{k}_n}$ , and such that the pairs  $(\mathfrak{g}_n, \mathfrak{k}_n)$  are symmetric. Comparing with the classification of Hermitian-symmetric spaces given by W. Kaup, it follows that any Hermitian-symmetric space of compact or non-compact type is an affine-coadjoint orbit of an Hilbert Lie group.

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## 1 Introduction

The topic of the present paper belongs to the theory of infinite-dimensional Hermitian-symmetric spaces, which are particular examples of symmetric spaces modelled on Banach spaces. The reader will find in [24] the fundamentals of the theory.

The classification of Hermitian-symmetric spaces of arbitrary dimension has been carried out by W. Kaup in [11] using the notion of Jordan triple systems developed in [10], and the equivalence between the category of simply connected, symmetric, complex Banach manifolds with base point and the category of Hermitian Jordan triple systems proved as the Main Theorem in [9]. A Hermitian-symmetric space  $\mathcal{M}$  is defined to be a connected complex Banach manifold with a Hermitian structure such that each point in  $\mathcal{M}$  is an isolated fixed point of an involutive holomorphic isometry of  $\mathcal{M}$ . By Theorem (4.2) in [11] and the discussion that follows, every Hermitian-symmetric space is the orthogonal product  $\mathcal{M} = \mathcal{M}_+ \times \mathcal{M}_0 \times \mathcal{M}_-$  where  $\mathcal{M}_0$  is the quotient of a Hilbert space by a discrete subgroup, and  $\mathcal{M}_+$  (resp.  $\mathcal{M}_-$ ) is a simply-connected Hermitian-symmetric space of compact (resp. non-compact) type. By Theorem (3.9) and the discussion following Theorem (4.2) in [11], every Hermitian-symmetric space of compact (resp. non-compact) type is the orthogonal product of (possibly an infinite number of) irreducible Hermitian-symmetric spaces of compact (resp. non-compact) type. The category of irreducible Hermitian-symmetric spaces of compact type is equivalent to the category of irreducible Hermitian-symmetric spaces of non-compact type ([11]). It is therefore sufficient to classify either the irreducible Hermitian-symmetric spaces of compact type or the irreducible Hermitian-symmetric spaces of non-compact type.

In this paper, we are interested in the classification of irreducible infinite-dimensional Hermitian-symmetric affine coadjoint orbits of compact or non-compact type. In order to state the corresponding results, let us first introduce some notation. For any complex Hilbert space  $\mathcal{F}$  endowed with a distinguished basis  $\{f_j\}_{j \in J}$ ,  $\mathcal{F}_{\mathbb{R}}$  will denote the real Hilbert space with basis  $\{f_j\}_{j \in J}$  and  $\mathcal{F}^{\mathbb{R}}$  the real

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Hilbert space with basis  $\{f_j\}_{j \in J} \cup \{if_j\}_{j \in J}$ . The Hilbert space of Hilbert-Schmidt operators on  $\mathcal{F}$  will be denoted by  $L^2(\mathcal{F})$ , and the Banach space of trace class operators on  $\mathcal{F}$  by  $L^1(\mathcal{F})$ . The group of invertible operators on  $\mathcal{F}$  will be denoted by  $\text{GL}(\mathcal{F})$ , and the group of unitary operators on  $\mathcal{F}$  by  $\text{U}(\mathcal{F})$ . In the sequel,  $\mathcal{H}$  will denote a separable complex Hilbert space endowed with an orthonormal basis  $\{e_n\}_{n \in \mathbb{Z} \setminus \{0\}}$ . The Hermitian scalar product on  $\mathcal{H}$  will be denoted by  $\langle \cdot, \cdot \rangle_{\mathcal{H}}$  and will be  $\mathbb{C}$ -skew-linear with respect to the first variable, and  $\mathbb{C}$ -linear with respect to the second variable. For a bounded operator  $x$  on  $\mathcal{H}$ , denote by  $x^T$  the transpose of  $x$  defined by  $\langle x^T e_i, e_j \rangle_{\mathcal{H}} = \langle x e_j, e_i \rangle_{\mathcal{H}}$ , and by  $x^*$  the adjoint of  $x$  defined by  $\langle x^* e_i, e_j \rangle_{\mathcal{H}} = \langle e_i, x e_j \rangle_{\mathcal{H}}$ . The closed infinite-dimensional subspace of  $\mathcal{H}$  generated by the  $e_n$ 's for  $n > 0$  will be called  $\mathcal{H}_+$ , and its orthogonal complement  $\mathcal{H}_-$ . For  $0 < p < +\infty$ , the  $p$ -dimensional subspace of  $\mathcal{H}$  generated by the  $e_n$ 's for  $0 < n \leq p$  will be denoted  $\mathcal{H}_p$ . Let  $J_0$  be the bounded operator on  $\mathcal{H}$  defined by  $J_0 e_i = -e_{-i}$  if  $i < 0$  and  $J_0 e_i = e_{-i}$  if  $i > 0$ . For  $\mathcal{F} = \mathcal{H}, \mathcal{H}_{\pm}, \mathcal{H}_p$ , or  $\mathcal{H}_p^{\perp}$  define the following Hilbert Lie groups and the associated Lie algebras

$$\begin{aligned} \text{GL}_2(\mathcal{F}) &:= \{g \in \text{GL}(\mathcal{F}) \mid g - \text{id} \in L^2(\mathcal{F})\}, & \mathfrak{gl}_2(\mathcal{F}) &:= L^2(\mathcal{F}), \\ \text{U}_2(\mathcal{F}) &:= \{g \in \text{U}(\mathcal{F}) \mid g - \text{id} \in L^2(\mathcal{F})\}, & \mathfrak{u}_2(\mathcal{F}) &:= \{a \in L^2(\mathcal{F}) \mid a^* + a = 0\}, \\ \text{O}_2(\mathcal{F}_{\mathbb{R}}) &:= \{g \in \text{U}_2(\mathcal{F}) \mid g^T g = \text{id}\}, & \mathfrak{o}_2(\mathcal{F}_{\mathbb{R}}) &:= \{a \in \mathfrak{u}_2(\mathcal{F}) \mid a^T + a = 0\} \end{aligned}$$

At last define

$$\text{Sp}_2(\mathcal{H}) := \{g \in \text{U}_2(\mathcal{H}) \mid g^T J_0 g = J_0\}, \quad \mathfrak{sp}_2(\mathcal{H}) := \{a \in \mathfrak{u}_2(\mathcal{H}) \mid a^T J_0 + J_0 a = 0\}.$$

On the Lie algebras  $\mathfrak{g}$  listed above, the bracket is the commutator of operators and the Hermitian product  $\langle \cdot, \cdot \rangle_{\mathcal{H}}$  is defined using the trace by

$$\langle A, B \rangle := \text{Tr } A^* B.$$

These Lie algebras are  $L^*$ -algebras in the sense that the following property is satisfied :

$$\langle [x, y], z \rangle = \langle y, [x^*, z] \rangle$$

for every  $x, y$  and  $z$ . In fact,  $\mathfrak{u}_2(\mathcal{H})$ ,  $\mathfrak{o}_2(\mathcal{H}_{\mathbb{R}})$  and  $\mathfrak{sp}_2(\mathcal{H})$  are the only separable infinite-dimensional simple  $L^*$ -algebras of compact type modulo isomorphisms (see below for the corresponding definition and [1], [8], or [23] for the proof of this statement). An  $L^*$ -group is a Banach-Lie group whose Lie algebra has a structure of  $L^*$ -algebra (see [7]). The  $L^*$ -groups  $\text{GL}_2(\mathcal{H})$ ,  $\text{U}_2(\mathcal{H})$  and  $\text{Sp}_2(\mathcal{H})$  are connected, but  $\text{O}_2(\mathcal{H}_{\mathbb{R}})$  admits two connected components (see Proposition 12.4.2 on page 245 in [15]). The connected component of  $\text{O}_2(\mathcal{H}_{\mathbb{R}})$  containing the special orthogonal group

$$\text{SO}_1(\mathcal{H}_{\mathbb{R}}) := \{g \in \text{O}_2(\mathcal{H}_{\mathbb{R}}) \mid g - \text{id} \in L^1(\mathcal{H}), \det(g) = 1\},$$

where  $\det$  denotes the Fredholm determinant (see [18]), will be denoted by  $\text{O}_2^+(\mathcal{H})$ . The aim of this paper is to prove the following statement.

**Theorem 1.1** *Every irreducible infinite-dimensional Hermitian-symmetric affine coadjoint orbit of a connected simple  $L^*$ -group of compact type is isomorphic to one of the following homogeneous space*

1. the Grassmannian  $\text{Gr}^{(p)} = \text{U}_2(\mathcal{H}) / (\text{U}_2(\mathcal{H}_p) \times \text{U}_2(\mathcal{H}_p^{\perp}))$  of  $p$ -dimensional subspaces of  $\mathcal{H}$  with  $\dim(\mathcal{H}_p) = p < +\infty$
2. the connected component of the restricted Grassmannian  $\text{Gr}_{\text{res}}^0 = \text{U}_2(\mathcal{H}) / (\text{U}_2(\mathcal{H}_+) \times \text{U}_2(\mathcal{H}_-))$  of the polarized Hilbert space  $\mathcal{H} = \mathcal{H}_+ \oplus \mathcal{H}_-$  with  $\dim \mathcal{H}_+ = \dim \mathcal{H}_- = +\infty$
3. the Grassmannian  $\text{Gr}_{\text{or}}^{(2)} = \text{O}_2^+(\mathcal{H}_{\mathbb{R}}) / (\text{SO}((\mathcal{H}_2)_{\mathbb{R}}) \times \text{O}_2^+(\mathcal{H}_2^{\perp}_{\mathbb{R}}))$  of oriented 2-planes in  $\mathcal{H}_{\mathbb{R}}$ ,
4. the Grassmannian  $\mathcal{Z}(\mathcal{H}) = \text{O}_2^+(\mathcal{H}_{\mathbb{R}}) / \text{U}_2(\mathcal{H})$  of orientation-preserving orthogonal complex structures close to the distinguished complex structure on  $\mathcal{H}$ ,
5. the Grassmannian  $\mathcal{L}(\mathcal{H}) = \text{Sp}_2(\mathcal{H}) / \text{U}_2(\mathcal{H}_+)$  of Lagrangian subspaces close to  $\mathcal{H}_+$ .

Since there is a duality between affine coadjoint orbits of compact and non-compact type, Theorem 1.1 gives as a Corollary the classification of every irreducible infinite-dimensional Hermitian-symmetric affine coadjoint orbits of the connected  $L^*$ -groups of non-compact type with simple complexification. Each of these non-compact duals are symmetric Hilbert domains (see Corollary 3.17).

In the finite-dimensional case, every Hermitian-symmetric space of compact type is a coadjoint orbit of its connected group of isometries (see Proposition 8.89 in [4]). In the infinite-dimensional setting, the biggest group of isometries of a given Hermitian-symmetric space is not a Hilbert Lie group in general. For example the restricted unitary group  $U_{\text{res}}(\mathcal{H})$  (see [15] for its definition) is a Banach Lie group acting by isometries on the restricted Grassmannian. It is a non trivial fact that the unitary Hilbert Lie group  $U_2(\mathcal{H})$ , strictly contained in  $U_{\text{res}}(\mathcal{H})$ , acts transitively on each connected components of the restricted Grassmannian (see Proposition 5.2 in [3]). Theorem 1.1 above compared to the work of W. Kaup ([9], [10], [11]), leads to the following generalization :

**Corollary 1.2** *Every Hermitian-symmetric space of compact or non-compact type is an homogeneous space of an Hilbert Lie group. More precisely, every Hermitian-symmetric space of compact or non-compact type is an affine-coadjoint orbit of an  $L^*$ -group.*

## 2 Root Theory of complex $L^*$ -algebra

The root theory of complex  $L^*$ -algebras has been developed by J. R. Schue in [16] and [17]. Let us first recall that an  $L^*$ -algebra  $\mathfrak{g}$  over  $\mathbb{K} \in \{\mathbb{R}, \mathbb{C}\}$  is a Lie algebra over  $\mathbb{K}$ , which is also a Hilbert space over  $\mathbb{K}$  such that for every element  $x \in \mathfrak{g}$ , there exists  $x^* \in \mathfrak{g}$  with the following property

$$\langle [x, y], z \rangle = \langle y, [x^*, z] \rangle, \quad (1)$$

for every  $y, z$  in  $\mathfrak{g}$ . In the case when  $\mathbb{K} = \mathbb{C}$ , our convention for the Hermitian product  $\langle \cdot, \cdot \rangle$  is that it is  $\mathbb{C}$ -skew-linear with respect to the first variable, and  $\mathbb{C}$ -linear with respect to the second variable. The first example of  $L^*$ -algebra is a semi-simple finite-dimensional complex Lie algebra  $\mathfrak{g}_0$  endowed with an involution  $\sigma$ , which defines a compact real form of  $\mathfrak{g}_0$ . In this example, the involutions  $*$  and  $\sigma$  are related by  $x^* = -\sigma(x)$  and the Hermitian scalar product is given by  $\langle x, y \rangle = B(x^*, y)$ , where  $B$  denotes the Killing form of  $\mathfrak{g}_0$ . An  $L^*$ -algebra is called of compact type if  $x^* = -x$  for every  $x$  in  $\mathfrak{g}$ . It is called of non-compact type otherwise. For a given  $L^*$ -algebra  $\mathfrak{g}$  the subspace

$$\mathfrak{k} := \{x \in \mathfrak{g} \mid x^* = -x\}$$

is a real  $L^*$ -algebra of compact type. Thus a complex  $L^*$ -algebra can be thought as an Hilbert Lie algebra together with a distinguished compact real form.

For every subsets  $A$  and  $B$  of an  $L^*$ -algebra  $\mathfrak{g}$ ,  $[A, B]$  will denote the *closure* of the vector space spanned by  $\{[a, b] \mid a \in A, b \in B\}$ . With this notation, an  $L^*$ -algebra is called semi-simple if  $\mathfrak{g} = [\mathfrak{g}, \mathfrak{g}]$ , and simple if  $\mathfrak{g}$  is non-commutative and if every closed ideal of  $\mathfrak{g}$  is trivial. Every  $L^*$ -algebra can be decomposed into an orthogonal sum of its center and a semi-simple closed ideal (see [19], 2.2.13.). A Cartan subalgebra of a complex semi-simple  $L^*$ -algebra  $\mathfrak{g}^{\mathbb{C}}$  is defined as a maximal Abelian  $*$ -stable subalgebra of  $\mathfrak{g}^{\mathbb{C}}$ . Note that the condition of being  $*$ -stable is added in comparison to the finite-dimensional setting, hence a Cartan subalgebra may not be maximal in the set of Abelian subalgebras. It is noteworthy that a Cartan subalgebra of an  $L^*$ -algebra is in fact maximal Abelian (see [17], 1.1). Remark that a finite-dimensional Cartan subalgebra of a complex semi-simple Lie algebra  $\mathfrak{g}^{\mathbb{C}}$  (for the usual definition) is contained in a compact real form of  $\mathfrak{g}^{\mathbb{C}}$ , thus is also a Cartan subalgebra of the corresponding finite-dimensional  $L^*$ -algebra. The existence of Cartan subalgebras of  $L^*$ -algebra is guaranteed by Zorn's Lemma. Every semi-simple  $L^*$ -algebra is an Hilbert sum of closed  $*$ -stable simple ideals (see Theorem 1 in [16] for the complex case and Theorem 1 in [2] for the real case).

In the sequel,  $\mathfrak{g}^{\mathbb{C}}$  will denote a semi-simple complex  $L^*$ -algebra and  $\mathfrak{h}^{\mathbb{C}}$  a Cartan subalgebra of  $\mathfrak{g}^{\mathbb{C}}$ . A root of  $\mathfrak{g}^{\mathbb{C}}$  with respect to  $\mathfrak{h}^{\mathbb{C}}$  is defined, as in the finite dimensional case, as an element  $\alpha$  in the dual of  $\mathfrak{h}^{\mathbb{C}}$  such that the corresponding "eigenspace"

$$V_{\alpha} := \{v \in \mathfrak{g}^{\mathbb{C}} \mid \forall h \in \mathfrak{h}^{\mathbb{C}}, [h, v] = \alpha(h)v\}.$$

is non-empty. In the following the set of non-zero roots with respect to a given Cartan subalgebra will be denoted by  $\mathcal{R}$ . Let us remark that a root has operator norm less than 1 and that for a non-zero root  $\alpha$ , the vector space  $V_{\alpha}$  is one-dimensional (see [16]). The Jacobi identity implies that

$$[V_{\alpha}, V_{\beta}] \subset V_{\alpha+\beta}. \quad (2)$$

By relation (1),  $V_\alpha^* = V_{-\alpha}$ . The main achievement in [17] is to prove that a semi-simple complex  $L^*$ -algebra  $\mathfrak{g}^{\mathbb{C}}$  admits a Cartan decomposition with respect to a given Cartan subalgebra  $\mathfrak{h}^{\mathbb{C}}$  in the sense that  $\mathfrak{g}^{\mathbb{C}}$  is the Hilbert sum

$$\mathfrak{g}^{\mathbb{C}} = \mathfrak{h}^{\mathbb{C}} \oplus \sum_{\alpha \in \mathcal{R}} V_\alpha. \quad (3)$$

Let us remark that in a separable  $L^*$ -algebra, the set of root is countable or finite.

By Zorn's Lemma, one can decompose the set  $\mathcal{R}$  of non-zero roots into two disjoint subsets  $\mathcal{R}_+$  and  $\mathcal{R}_-$  such that  $\alpha \in \mathcal{R}_+ \Leftrightarrow -\alpha \in \mathcal{R}_-$ . Such a decomposition defines a strict partial ordering on  $\mathcal{R}$  by

$$\alpha > \beta \Leftrightarrow \alpha - \beta > 0,$$

where we write  $\alpha - \beta > 0$  for  $\alpha - \beta \in \mathcal{R}^+$ . The elements in  $\mathcal{R}_+$  will be called *positive* roots. In the sequel, a decomposition  $\mathcal{R} = \mathcal{R}_+ \cup \mathcal{R}_-$  as before and the induced ordering on the set of non-zero roots will be identified.

For every positive root  $\alpha$ , one can choose  $e_\alpha \in V_\alpha$  such that  $\|e_\alpha\| = 1$ . Then  $e_\alpha^* \in V_{-\alpha}$  and  $\|e_\alpha^*\| = 1$ . This choice made, we define  $e_{-\alpha} := e_{-\alpha}^*$  for  $\alpha \in \mathcal{R}_+$ , in order to have, for every  $\alpha \in \mathcal{R}$ , the following relation  $e_\alpha^* = e_{-\alpha}$ . By (3), the set  $\{e_\alpha \mid \alpha \in \mathcal{R}\}$  is an Hilbert basis of  $(\mathfrak{h}^{\mathbb{C}})^\perp$ , and by (2),  $[e_\alpha, e_\alpha^*]$  belongs to  $\mathfrak{h}^{\mathbb{C}}$ . We define the following elements in the Cartan subalgebra  $\mathfrak{h}^{\mathbb{C}}$ :

$$h_\alpha := [e_\alpha, e_\alpha^*], \quad (4)$$

for  $\alpha \in \mathcal{R}^+$ . A positive root is called *simple* if it can not be written as the sum of two positive roots. The set of simple roots will be denoted by  $\mathcal{S}$ . A subset  $\mathcal{N}$  of the set of non-zero roots  $\mathcal{R}$  is called a *root system*, if it satisfies the following conditions:

1.  $\alpha \in \mathcal{N} \Rightarrow -\alpha \in \mathcal{N}$ ,
2.  $(\alpha, \beta \in \mathcal{N} \text{ and } \alpha + \beta \in \mathcal{R}) \Rightarrow \alpha + \beta \in \mathcal{N}$ .

A subset  $\mathcal{N} \subset \mathcal{R}$  is called *indecomposable* if it can not be written as the union of two orthogonal non-empty subsets. As in the classical theory, one has the following facts. The set  $\mathcal{R}$  of non-zero roots of a simple  $L^*$ -algebra is indecomposable. If  $F$  is an indecomposable subset of the set of non-zero roots  $\mathcal{R}$ , then it generates a root system  $\mathcal{N}_F$ , which is again indecomposable. The simple  $L^*$ -algebra generated by  $\{e_\alpha \mid \alpha \in \mathcal{N}_F\}$  will be denoted by  $\mathfrak{g}(\mathcal{N}_F)$ .

For the classification of Hermitian-symmetric affine coadjoint orbits given in next section, we will need the following results. They were proved by J.R. Schue in [16] in order to classify the complex simple infinite-dimensional  $L^*$ -algebras.

**Proposition 2.1** ([16]) *For every finite subset  $F$  of the set of non-zero roots  $\mathcal{R}$  of a simple  $L^*$ -algebra, there exists a finite indecomposable system of non-zero roots containing  $F$ .*

**Theorem 2.2** ([16], 3.2) *Let  $\mathfrak{g}^{\mathbb{C}}$  be a simple complex separable  $L^*$ -algebra and  $\mathcal{R} = \{\alpha_i \mid i \in \mathbb{N} \setminus \{0\}\}$  the set of non-zero roots with respect to a given Cartan subalgebra of  $\mathfrak{g}^{\mathbb{C}}$ . For every  $n \in \mathbb{N} \setminus \{0\}$ , set  $F_n := \{\alpha_1, \dots, \alpha_n\}$ . Then there exists a sequence  $\{\mathcal{N}_n\}_{n \in \mathbb{N} \setminus \{0\}}$  of finite subsets of  $\mathcal{R}$  such that*

1.  $F_n \subset \mathcal{N}_n \subset \mathcal{N}_{n+1}$ ;
2.  $\mathcal{N}_n$  is a indecomposable root system;
3.  $\mathcal{R} = \bigcup_{n \in \mathbb{N} \setminus \{0\}} \mathcal{N}_n$
4. the simple subalgebras  $\mathfrak{g}(\mathcal{N}_n)$  generated by  $\mathcal{N}_n$  form a strictly increasing sequence with

$$\mathfrak{g}^{\mathbb{C}} = \overline{\bigcup_{n \in \mathbb{N} \setminus \{0\}} \mathfrak{g}(\mathcal{N}_n)};$$

5. The simple complex finite-dimensional algebras  $\mathfrak{g}(\mathcal{N}_n)$  are of the same Cartan type  $A$ ,  $B$ ,  $C$  or  $D$ .

**Proposition 2.3** ([16], 3.2) *Given a sequence  $\{\mathcal{N}_n\}_{n \in \mathbb{N} \setminus \{0\}}$  as in the previous Theorem, there exists a total ordering on the vector space generated by the set of roots such that:*

1.  $\alpha > 0 \Rightarrow -\alpha < 0$ ;
2.  $\alpha > 0, \beta > 0 \Rightarrow \alpha + \beta > 0$ ;
3. If  $\alpha > 0$  and  $\alpha \notin \mathcal{N}_n$  then  $\alpha > \beta$  for all  $\beta \in \mathcal{N}_n$ ;

4. the induced ordering on  $\mathcal{N}_n$  is a lexicographical ordering with respect to a basis of roots.

**Proposition 2.4** ([16], 3.3) *Let  $\mathcal{S}$  be the set of simple roots of  $\mathfrak{g}^{\mathbb{C}}$  with respect to the ordering defined in the previous Proposition. The following assertions hold :*

1.  $\mathcal{S} \cap \mathcal{N}_n$  is a complete system of simple roots of the finite-dimensional algebra  $\mathfrak{g}(\mathcal{N}_n)$ , i.e. every positive root  $\alpha$  of  $\mathcal{N}_n$  can be written as a linear combination of elements in  $\mathcal{S} \cap \mathcal{N}_n$  with non-negative integral coefficients;
2. If  $\alpha$  and  $\beta$  belong to  $\mathcal{S}$ ,  $\alpha - \beta$  is a root if and only if  $\alpha = \beta$ ;
3. the elements in  $\mathcal{S}$  are linearly independent on the reals and every positive root  $\alpha \in \mathcal{R}_+$  is a linear combination of elements in  $\mathcal{S}$  with non-negative integral coefficients which are all zero except for a finite number of them.

### 3 Classification of irreducible Hermitian-symmetric affine coadjoint orbits

The classification of finite-dimensional Hermitian-symmetric coadjoint orbits using the notion of roots of non-compact type has been carry out by J. A. Wolf in [26]. In this section we use the same technique to classify Hermitian-symmetric affine coadjoint orbits of connected simple  $L^*$ -groups of compact type, and then deduce a classification result for Hermitian-symmetric affine coadjoint orbits of non-compact type. Affine coadjoint orbits have been introduced in particular by K.-H. Neeb in [12]. Given an  $L^*$ -group  $G$  with Lie algebra  $\mathfrak{g}$ , an affine coadjoint action of  $G$  is a continuous homomorphism  $\text{Ad}_\theta^*$  from  $G$  into the affine group of transformations  $\text{Aff}(\mathfrak{g}') = \text{GL}(\mathfrak{g}') \ltimes \mathfrak{g}'$  of the continuous dual  $\mathfrak{g}'$  of  $\mathfrak{g}$  such that  $\text{Ad}_\theta^*(g) = (\text{Ad}^*(g), \theta(g))$ ,  $g \in G$ , where  $\text{Ad}^*$  is the usual linear coadjoint action. By derivation at the unit element  $\mathbf{1} \in G$ , it gives an affine coadjoint action of  $\mathfrak{g}$  on  $\mathfrak{g}'$ , i.e. an continuous homomorphism  $\text{ad}_\theta^* : \mathfrak{g} \rightarrow \mathfrak{aff}(\mathfrak{g}') = \mathfrak{gl}(\mathfrak{g}') \ltimes \mathfrak{g}'$  such that  $\text{ad}_\theta^*(x) = (\text{ad}^*(x), d\theta_e(x))$ ,  $x \in \mathfrak{g}$ . If  $d\theta_{\mathbf{1}}(x) = \omega(x, \cdot)$  for a continuous 2-cocycle  $\omega \in Z_c^2(\mathfrak{g}, \mathbb{R})$ , then the orbits of the affine coadjoint action of  $G$  defined by  $\theta$  are naturally symplectic (see Theorem 2.4 in [12]) with symplectic form :

$$\Omega_\beta (\text{ad}_\theta^*(x)(\beta), \text{ad}_\theta^*(y)(\beta)) = \beta ([x, y]) - \omega(x, y),$$

where  $x, y \in \mathfrak{g}$  and  $\beta \in \mathfrak{g}'$ .

**Definition 3.1** An affine coadjoint orbit  $\mathcal{O}$  of  $G$  is called *Hermitian-symmetric* if it has a  $G$ -invariant structure of Hermitian-symmetric space.

**Remark 3.2** A Hermitian-symmetric affine coadjoint orbit  $\mathcal{O}$  is in particular (*locally*-)symmetric, i.e. the Lie algebra  $\mathfrak{g}$  of  $G$  splits into  $\mathfrak{g} = \mathfrak{k} \oplus \mathfrak{m}$ , where  $\mathfrak{k}$  is the Lie algebra of the isotropy group  $K$  fixing a given point  $o \in \mathcal{O}$  and  $\mathfrak{m}$  is a  $K$ -invariant complement of  $\mathfrak{k}$  in  $\mathfrak{g}$  such that

$$[\mathfrak{m}, \mathfrak{m}] \subset \mathfrak{k}.$$

(One also says that  $(\mathfrak{g}, \mathfrak{k})$  is a symmetric pair). Consequently the Levi-Civita connection of an affine coadjoint Hermitian-symmetric orbit  $\mathcal{O}$  is the homogeneous connection and every  $G$ -invariant tensor is parallel (see e.g. Proposition 1.9 in [21] and its proof). In particular,  $\mathcal{O}$  is Kähler since the complex structure is  $G$ -invariant hence parallel.

Since we are interested in Hermitian-symmetric orbits, which by the previous remark are in particular symplectic, we will consider only affine coadjoint actions such that  $d\theta_e(x) = \omega(x, \cdot)$  for some continuous 2-cocycle  $\omega \in Z_c^2(\mathfrak{g}, \mathbb{R})$ . Since the bracket  $\langle \cdot, \cdot \rangle$  on the  $L^*$ -algebra  $\mathfrak{g}$  is non-degenerate, there exists an operator  $\mathbb{D}$  on  $\mathfrak{g}$  such that :

$$\omega(x, y) = \langle x^*, \mathbb{D}y \rangle,$$

for  $x, y \in \mathfrak{g}$ . Since  $\omega$  is a cocycle,  $\mathbb{D}$  is a derivation of the Lie algebra  $\mathfrak{g}$ . By Remark 2.5(d) in [12], it is sufficient to consider affine coadjoint orbits of  $0 \in \mathfrak{g}'$ .

In the sequel,  $\mathfrak{g}$  will denote an infinite-dimensional separable simple  $L^*$ -algebra of compact type and  $G_0$  a connected simple  $L^*$ -group with the Lie algebra  $\mathfrak{g}$ . According to [1], [8] or [23],  $\mathfrak{g}$  can be realized as a subalgebra of the  $L^*$ -algebra  $\mathfrak{gl}_2(\mathcal{H})$  consisting of Hilbert-Schmidt operators on a separable complex

Hilbert space  $\mathcal{H}$ . We may therefore assume  $\mathfrak{g} \subseteq \mathfrak{gl}_2(\mathcal{H})$ . By the duality  $\mathfrak{g}' = \mathfrak{g}$  given by the trace, we can identify affine adjoint and affine coadjoint orbits of  $G_0$ .

Suppose that  $\mathcal{O}$  is a Hermitian-symmetric affine adjoint orbit of  $G_0$  for an action as above. Then it is in particular strongly Kähler and by Theorem 4.4 in [12], there exists  $D \in B(\mathcal{H})$  satisfying  $D^* = -D$  such that for every  $x$  in  $\mathfrak{g}$ ,  $\mathbb{D}x = [D, x]$ , as well as a Cartan subalgebra  $\mathfrak{h}^{\mathbb{C}}$  of  $\mathfrak{g}^{\mathbb{C}}$  which is contained in  $\ker \mathbb{D}$ . To emphasize the relation between the orbit and the bounded operator  $D$ , we will often write  $\mathcal{O} = \mathcal{O}_D$ .

Now let  $G$  be the group of operators on  $\mathcal{H}$  generated by the exponentials of operators in  $\mathfrak{g}$ , and  $G^{\mathbb{C}}$  be the group of operators on  $\mathcal{H}$  generated by the exponentials of operators in  $\mathfrak{g}^{\mathbb{C}} := \mathfrak{g} \oplus i\mathfrak{g} \subseteq \mathfrak{gl}_2(\mathcal{H})$ . That is,  $G$  (resp.  $G^{\mathbb{C}}$ ) is the connected  $\mathbf{1}$ -component of the classical Hilbert-Lie group whose Lie algebra is  $\mathfrak{g}$  (resp.  $\mathfrak{g}^{\mathbb{C}}$ ). Since the center of  $G$  reduces to  $\{\mathbf{1}\}$ , it follows that the corresponding adjoint action

$$\text{Ad}_G : G \rightarrow \text{Ad}(\mathfrak{g})$$

is an isomorphism of Lie groups, where  $\text{Ad}(\mathfrak{g})$  is the adjoint group of the Banach-Lie algebra  $\mathfrak{g}$ . Recall that the automorphism group  $\text{Aut}(\mathfrak{g})$  of  $\mathfrak{g}$  has the natural structure of a Banach-Lie group whose Lie algebra consists of all derivations of  $\mathfrak{g}$ , and  $\text{Ad}(\mathfrak{g})$  is the connected (integral) subgroup of  $\text{Aut}(\mathfrak{g})$  corresponding to the Lie subalgebra of inner derivations of  $\mathfrak{g}$ .

On the other hand we have the adjoint action

$$\text{Ad}_{G_0} : G_0 \rightarrow \text{Ad}(\mathfrak{g}).$$

This Lie group homomorphism is onto and its kernel is equal to the center  $Z_{G_0}$  of  $G_0$ . Since  $G_0$  is a simple Lie group, it follows that  $Z_{G_0}$  is a discrete subgroup. Thus we get a covering homomorphism

$$\pi = (\text{Ad}_G)^{-1} \circ \text{Ad}_{G_0} : G_0 \rightarrow G \ (\hookrightarrow B(\mathcal{H}))$$

whose fiber over  $\mathbf{1} \in G$  is precisely the center of  $G_0$ , and for every  $D \in B(\mathcal{H})$  the diagram

$$\begin{array}{ccc} G_0 & \xrightarrow{\pi} & G \\ \text{Ad}_{G_0, \omega_D} \downarrow & & \downarrow \text{Ad}_{G, \omega_D} \\ B(\mathcal{H}) & \xrightarrow{\text{id}} & B(\mathcal{H}) \end{array}$$

is commutative. Here the vertical arrows stand for the corresponding affine coadjoint actions :

$$\text{Ad}_{G_0, \omega_D}(g)X = \text{Ad}_{G_0}(g)X + \pi(g)D\pi(g)^{-1} - D$$

for every  $g \in G_0$  and  $X \in \mathfrak{g}$ , and

$$\text{Ad}_{G, \omega_D}(g)X = \text{Ad}_G(g)X + gDg^{-1} - D$$

for every  $g \in G$  and  $X \in \mathfrak{g}$ .

Since  $\pi : G_0 \rightarrow G$  is a covering map, it follows by the above commutative diagram that the affine coadjoint orbits of  $G_0$  and the ones of  $G$  are the same. Thus it suffices to investigate the affine coadjoint orbits of  $G$ .

Abusing slightly the notation, we will sometimes denote  $\mathbb{D}$  by  $\text{ad}(D)$ . An alternative definition of  $\mathcal{O}_D$  is

$$\mathcal{O}_D = \{gDg^{-1} - D \mid g \in G\},$$

and the affine adjoint action of  $G$  on  $\mathfrak{g}$  is given by

$$g \cdot a = \text{Ad}_G(g)(a) + gDg^{-1} - D$$

where  $g \in G$  and  $a \in \mathfrak{g}$ . The subalgebra  $\mathfrak{k}$  of  $\mathfrak{g}$  which fixes 0 is

$$\mathfrak{k} := \{x \in \mathfrak{g} \mid [D, x] = 0\}.$$

It is an  $L^*$ -subalgebra of  $\mathfrak{g}$ . Let  $K$  be the isotropy subgroup of  $G$  that fixes 0. Since  $G$  and  $\mathcal{O}$  are connected,  $K$  is connected. We will denote by  $\mathfrak{m}$  the orthogonal complement of  $\mathfrak{k}$  in  $\mathfrak{g}$ , which is in particular  $K$ -invariant.



From the discussion above it follows that it suffices to consider Hermitian-symmetric orbits  $\mathcal{O}_D$  of the connected  $\mathbf{1}$ -component  $G$  of the classical Hilbert-Lie group whose Lie algebra is the infinite-dimensional separable simple  $L^*$ -algebra of compact type  $\mathfrak{g} \subseteq \mathfrak{gl}_2(\mathcal{H})$ . Such an orbit is said to be *of compact type* and admits a dual *of non-compact type* in the following sense. If  $\mathfrak{g} = \mathfrak{k} \oplus \mathfrak{m}$  is the decomposition of the Lie algebra of  $G$  as above, then  $\mathfrak{g}^{\text{n.c.}} := \mathfrak{k} \oplus i\mathfrak{m}$  is a real  $L^*$ -subalgebra of the complexification  $\mathfrak{g}^{\mathbb{C}}$  of  $\mathfrak{g}$ . Since  $\mathfrak{g}^{\mathbb{C}}$  is supposed to be a subalgebra of  $\mathfrak{gl}_2(\mathcal{H})$ , one can define the connected  $L^*$ -group  $G^{\text{n.c.}}$  generated by the exponentials of operators in  $\mathfrak{g}^{\text{n.c.}}$ . Then the dual of  $\mathcal{O}_D$  is defined as the affine coadjoint orbit of  $G^{\text{n.c.}}$  for the derivation  $D$ . Let  $\mathfrak{k}^{\mathbb{C}}$  and  $\mathfrak{m}^{\mathbb{C}}$  denote the complexifications of  $\mathfrak{k}$  and  $\mathfrak{m}$  respectively. Note that  $\mathfrak{g}^{\mathbb{C}}$  is the orthogonal sum of  $\mathfrak{k}^{\mathbb{C}}$  and  $\mathfrak{m}^{\mathbb{C}}$  with respect to the Hermitian product of the  $L^*$ -algebra  $\mathfrak{g}^{\mathbb{C}}$ .

**Proposition 3.3** *Let  $\mathfrak{h}^{\mathbb{C}}$  be a Cartan subalgebra of  $\mathfrak{g}^{\mathbb{C}}$  that is contained in  $\ker \text{ad} D$ , and let*

$$\mathfrak{g}^{\mathbb{C}} = \mathfrak{h}^{\mathbb{C}} \oplus \sum_{\alpha \in \mathcal{R}} V_{\alpha}$$

*be the associated Cartan decomposition of  $\mathfrak{g}^{\mathbb{C}}$ , where  $\mathcal{R}$  denotes the set of non-zero roots with respect to  $\mathfrak{h}^{\mathbb{C}}$ . Suppose that  $\mathcal{O}_D$  is Hermitian-symmetric. Then there exists two subsets  $\mathcal{A}$  and  $\mathcal{B}$  of  $\mathcal{R}$  such that  $\mathcal{A} \cup \mathcal{B} = \mathcal{R}$  and*

$$\mathfrak{k}^{\mathbb{C}} = \mathfrak{h}^{\mathbb{C}} \oplus \sum_{\alpha \in \mathcal{A}} V_{\alpha}, \quad \mathfrak{m}^{\mathbb{C}} = \sum_{\alpha \in \mathcal{B}} V_{\alpha}.$$

□ **Proof of Proposition 3.3 :**

Since  $\mathcal{O}_D$  is (locally-)symmetric, one has  $\mathfrak{g}^{\mathbb{C}} = \mathfrak{k}^{\mathbb{C}} \oplus \mathfrak{m}^{\mathbb{C}}$  with

$$[\mathfrak{k}^{\mathbb{C}}, \mathfrak{k}^{\mathbb{C}}] \subset \mathfrak{k}^{\mathbb{C}}; \quad [\mathfrak{k}^{\mathbb{C}}, \mathfrak{m}^{\mathbb{C}}] \subset \mathfrak{m}^{\mathbb{C}}; \quad [\mathfrak{m}^{\mathbb{C}}, \mathfrak{m}^{\mathbb{C}}] \subset \mathfrak{k}^{\mathbb{C}}.$$

Let  $v$  be a non-zero vector in  $V_{\alpha}$ , and  $v = v_0 + v_1$  his decomposition with respect to the direct sum  $\mathfrak{g}^{\mathbb{C}} = \mathfrak{k}^{\mathbb{C}} \oplus \mathfrak{m}^{\mathbb{C}}$ . For every  $h \in \mathfrak{h}^{\mathbb{C}}$ , one has

$$[h, v] = [h, v_0 + v_1] = \alpha(h)(v_0 + v_1) = \alpha(h)v_0 + \alpha(h)v_1 = [h, v_0] + [h, v_1].$$

Since  $[\mathfrak{h}^{\mathbb{C}}, \mathfrak{k}^{\mathbb{C}}] \subset \mathfrak{k}^{\mathbb{C}}$  and  $[\mathfrak{h}^{\mathbb{C}}, \mathfrak{m}^{\mathbb{C}}] \subset \mathfrak{m}^{\mathbb{C}}$ , it follows that

$$[h, v_0] = \alpha(h)v_0 \quad \text{et} \quad [h, v_1] = \alpha(h)v_1.$$

But  $V_{\alpha}$  is one-dimensional, hence either  $v_0 = 0$ , or  $v_1 = 0$ . Consequently  $V_{\alpha}$  is contained either in  $\mathfrak{k}^{\mathbb{C}}$  or in  $\mathfrak{m}^{\mathbb{C}}$ . □

**Proposition 3.4** *For every  $\alpha \in \mathcal{R}$ , there exists a constant  $c_{\alpha} \in \mathbb{R}$  such that  $[D, e_{\alpha}] = ic_{\alpha} e_{\alpha}$ . Moreover  $c_{-\alpha} = -c_{\alpha}$ .*

□ **Proof of Proposition 3.4 :**

For every  $\alpha \in \mathcal{R}$  and every  $h \in \mathfrak{h}^{\mathbb{C}}$ , one has

$$[h, [D, e_{\alpha}]] = [[h, D], e_{\alpha}] + [D, [h, e_{\alpha}]] = \alpha(h) [D, e_{\alpha}].$$

The space  $V_{\alpha}$  being one-dimensional, it follows that  $[D, e_{\alpha}]$  is proportional to  $e_{\alpha}$ . Since  $D$  satisfies  $D^* = -D$ , one has, for every  $\alpha \in \mathcal{R}$ , the following relation

$$\langle [D, e_{\alpha}], e_{\alpha} \rangle = -\langle e_{\alpha}, [D, e_{\alpha}] \rangle = -\overline{\langle [D, e_{\alpha}], e_{\alpha} \rangle}.$$

Thus there exists a real constant  $c_{\alpha}$  such that

$$[D, e_{\alpha}] = ic_{\alpha} e_{\alpha}$$

On the other hand,

$$[D, e_{\alpha}]^* = [e_{\alpha}^*, D^*] = -[e_{\alpha}^*, D] = [D, e_{\alpha}^*].$$

Whence

$$\langle [D, e_{\alpha}^*], e_{\alpha}^* \rangle = \langle e_{\alpha}, [D, e_{\alpha}^*]^* \rangle = \langle e_{\alpha}, [D, e_{\alpha}] \rangle = ic_{\alpha}.$$

Consequently  $[D, e_{\alpha}^*] = -ic_{\alpha} e_{\alpha}^*$ . □

**Remark 3.5** Let us denote by  $\mathfrak{m}_+$  (resp.  $\mathfrak{m}_-$ ) the closed subspace of  $\mathfrak{m}^{\mathbb{C}}$  generated by the  $e_\alpha$ 's, where  $\alpha$  runs over the set of roots for which  $c_\alpha > 0$  (resp.  $c_\alpha < 0$ ). Let  $\mathcal{B}_+$  (resp.  $\mathcal{B}_-$ ) be the set of roots  $\beta$  in  $\mathcal{B}$  such that  $V_\beta \in \mathfrak{m}_+$  (resp.  $V_\beta \in \mathfrak{m}_-$ ).

**Definition 3.6** The affine coadjoint orbit  $\mathcal{O}_{\mathbb{D}}$  is called (*isotropy-*)*irreducible* if  $\mathfrak{m}$  is a non-zero irreducible  $K$ -module.

**Proposition 3.7** *If the affine adjoint orbit  $\mathcal{O}_D$  is irreducible, then  $\mathfrak{m}_+$  and  $\mathfrak{m}_-$  are irreducible  $\text{Ad}(K)$ -modules, and there exists a constant  $c > 0$  such that  $\text{ad}(D)|_{\mathfrak{m}_+} = ic \text{id}|_{\mathfrak{m}_+}$  and  $\text{ad}(D)|_{\mathfrak{m}_-} = -ic \text{id}|_{\mathfrak{m}_-}$ . In particular, the spectrum of  $\text{ad}(D)$  is  $\{0, ic, -ic\}$ , hence  $D$  admits exactly two distinct eigenvalues.*

□ **Proof of Proposition 3.7 :**

For every  $k \in \mathfrak{k}$  and every  $e_\alpha \in \mathfrak{m}_\pm$ , one has

$$[D, [k, e_\alpha]] = [[D, k], e_\alpha] + [k, [D, e_\alpha]] = ic_\alpha [k, e_\alpha].$$

It follows that  $[\mathfrak{k}, \mathfrak{m}_\pm] \subset \mathfrak{m}_\pm$  and that  $\mathfrak{m}_\pm$  is stable under the adjoint action of  $K$ . Let us suppose that  $\mathfrak{m}_+$  decomposes into a sum of two non-zero  $\text{Ad}(K)$ -modules  $\mathfrak{m}_1$  and  $\mathfrak{m}_2$ . Then

$$\mathfrak{m}_- = \mathfrak{m}_1^* \oplus \mathfrak{m}_2^*,$$

and it follows that  $\mathfrak{m}$  decomposes also into the sum of two non-zero  $\text{Ad}(K)$ -modules, namely  $\mathfrak{g} \cap (\mathfrak{m}_1 \oplus \mathfrak{m}_1^*)$  and  $\mathfrak{g} \cap (\mathfrak{m}_2 \oplus \mathfrak{m}_2^*)$ . The orbit  $\mathcal{O}_D$  being irreducible,  $\mathfrak{m}$  is an irreducible  $\text{Ad}(K)$ -module and this leads to a contradiction. So the irreducibility of  $\mathfrak{m}_\pm$  is proved. Let  $e_\alpha$  be an element in  $\mathfrak{m}_+$  and set  $c = c_\alpha$  :

$$[D, e_\alpha] = ic e_\alpha.$$

The kernel  $\ker(D - ic)$  being an  $\text{Ad}(K)$ -module of  $\mathfrak{m}_+$ , one has  $\text{ad}(D)|_{\mathfrak{m}_+} = ic \text{id}|_{\mathfrak{m}_+}$ . The relation  $c_{-\alpha} = -c_\alpha$  implies that  $\text{ad}(D)|_{\mathfrak{m}_-} = -ic \text{id}|_{\mathfrak{m}_-}$ . □

**Definition 3.8** Given an ordering on the set of non-zero roots  $\mathcal{R}$  of  $\mathfrak{g}^{\mathbb{C}}$ , a simple root  $\phi$  is called of *non-compact type* (see [26]) if every root  $\alpha \in \mathcal{R}$  is of the form

$$\alpha = \pm \sum_{\Psi \in \mathcal{S} \setminus \{\phi\}} a_\Psi \Psi, \text{ where } a_\Psi \geq 0 \text{ for all } \Psi \in \mathcal{S} \setminus \{\phi\},$$

or of the form

$$\alpha = \pm \left( \phi + \sum_{\Psi \in \mathcal{S} \setminus \{\phi\}} a_\Psi \Psi \right), \text{ where } a_\Psi \geq 0 \text{ for all } \Psi \in \mathcal{S} \setminus \{\phi\}.$$

**Lemma 3.9** *Let  $\mathcal{O}_D$  be a Hermitian-symmetric affine adjoint irreducible orbit of a simple  $L^*$ -algebra  $\mathfrak{g}$ ,  $\mathfrak{h}^{\mathbb{C}}$  be a Cartan subalgebra of  $\mathfrak{g}^{\mathbb{C}}$  contained in  $\ker \text{ad}D$ , and*

$$\mathfrak{g}^{\mathbb{C}} = \mathfrak{h}^{\mathbb{C}} \oplus \sum_{\alpha \in \mathcal{A}} V_\alpha \oplus \sum_{\beta \in \mathcal{B}} V_\beta$$

be the associated Cartan decomposition of  $\mathfrak{g}^{\mathbb{C}}$  with

$$\mathfrak{k}^{\mathbb{C}} = \mathfrak{h}^{\mathbb{C}} \oplus \sum_{\alpha \in \mathcal{A}} V_\alpha, \text{ and } \mathfrak{m}^{\mathbb{C}} = \sum_{\beta \in \mathcal{B}} V_\beta.$$

For every ordering  $\mathcal{R} = \mathcal{R}_+ \cup \mathcal{R}_-$  on the set of roots, there exists a unique simple root  $\phi$  belonging to  $\mathcal{B}$ .

△ **Proof of Lemma 3.9:**

Let  $\{\phi_i, \Psi_j\}_{i \in I, j \in J}$  be the set of simple roots with  $\phi_i$  in  $\mathcal{B}$  and  $\Psi_j$  in  $\mathcal{A}$ . Let us suppose that  $I$  is empty. The relation  $[\mathfrak{k}^{\mathbb{C}}, \mathfrak{k}^{\mathbb{C}}] \subset \mathfrak{k}^{\mathbb{C}}$  implies that every positive root belongs to  $\mathcal{A}$  and consequently  $\mathfrak{m} = \{0\}$ , which contradicts the hypothesis that  $\mathfrak{m}$  is a non-zero irreducible  $\text{Ad}(K)$ -module. Let  $\phi$  be a simple root in  $\mathcal{B}$ . The closed vector space spanned by the adjoint action of  $\mathfrak{k}$  on  $e_\phi$  is a non-zero irreducible  $\text{Ad}(K)$ -submodule of  $\mathfrak{m}^{\mathbb{C}}$ . It follows that  $\phi$  is necessarily unique. △



**Lemma 3.10** *Under the hypothesis of Lemma 3.9, there exists an increasing sequence of finite indecomposable root systems  $\mathcal{N}_n$  such that*

1.  $\mathcal{R} = \bigcup_{n \in \mathbb{N} \setminus \{0\}} \mathcal{N}_n$ ;
2. all the finite-dimensional subalgebras  $\mathfrak{g}(\mathcal{N}_n)$  generated by  $\mathcal{N}_n$  belong to the same type A, B, C, or D and  $\mathfrak{g}^{\mathbb{C}}$  is the closure of the union of the subalgebras  $\mathfrak{g}(\mathcal{N}_n)$ ;
3.  $\phi$  is a simple root of non-compact type for each subalgebra  $\mathfrak{g}(\mathcal{N}_n)$  with respect to the ordering on the roots of  $\mathfrak{g}(\mathcal{N}_n)$  induced by the ordering on  $\mathcal{R}$  defined in Proposition 2.3.

$\Delta$  **Proof of Lemma 3.10:**

Let  $\{\alpha_1, \dots, \alpha_n, \dots\}$  be a numbering of the roots in  $\mathcal{A}$ . Set  $F_n = \{\alpha_1, \dots, \alpha_n\}$ . Let us construct by induction an increasing sequence of finite indecomposable root systems  $\mathcal{N}_n$  as follows. By Proposition 2.1, there exists a finite indecomposable root system  $\mathcal{N}_1$  containing  $\{\phi\} \cup F_1$ . Suppose that  $\mathcal{N}_{n-1}$  is constructed, then there exists a finite indecomposable root system  $\mathcal{N}_n$  containing  $F_n \cup \mathcal{N}_{n-1}$ . Since every root in  $\mathcal{B}$  is the sum of  $\phi$  and roots in  $\mathcal{A}$ ,  $\mathcal{R} = \bigcup_{n \in \mathbb{N} \setminus \{0\}} \mathcal{N}_n$ . The sequence of finite-dimensional simple subalgebras  $\mathfrak{g}(\mathcal{N}_n)$  generated by the root systems  $\mathcal{N}_n$  is increasing and such that  $\mathfrak{g}^{\mathbb{C}} = \overline{\bigcup_{n \in \mathbb{N} \setminus \{0\}} \mathfrak{g}(\mathcal{N}_n)}$ . Since there exists only 9 types of finite-dimensional simple algebras, at least one type occurs an infinite number of times. Since  $\mathfrak{g}^{\mathbb{C}}$  is infinite-dimensional and since only the types A, B, C, or D corresponds to algebras of arbitrary dimension, at least one of the types A, B, C, or D occurs an infinite number of times. It follows that there exists a subsequence  $\mathcal{N}_{n_k}$  of  $\mathcal{N}_n$  such that all the subalgebras  $\mathfrak{g}(\mathcal{N}_{n_k})$  are of the same type A, B, C, or D. Let  $\mathcal{S}_{n_k}$  be the set of simple roots of  $\mathfrak{g}(\mathcal{N}_{n_k})$  with respect to the ordering induced by the ordering on  $\mathcal{R}$  defined in Proposition 2.3. By Proposition 2.4,  $\mathcal{S}_{n_k} = \mathcal{S} \cap \mathfrak{g}(\mathcal{N}_{n_k})$ , where  $\mathcal{S}$  is the set of simple roots of  $\mathfrak{g}^{\mathbb{C}}$ . For every positive root  $\gamma$  in  $\mathcal{N}_{n_k}$ , there exists a finite sequence  $\{\gamma_i, i = 1, \dots, k\}$  of roots in  $\mathcal{S}_{n_k}$  such that

$$\gamma = \gamma_1 + \gamma_2 + \dots + \gamma_k,$$

and such that the partial sums  $\gamma_1 + \dots + \gamma_j$ ,  $1 \leq j \leq k$  are roots (see [5]). Hence the vector space  $V_\gamma$  is generated by

$$v = [e_{\gamma_k}, [e_{\gamma_{k-1}}, [e_{\gamma_{k-2}}, \dots, [e_{\gamma_2}, e_{\gamma_1}] \dots ]]].$$

The orbit  $\mathcal{O}_D$  being irreducible,  $[D, e_\phi] = \epsilon_\phi ic e_\phi$  with  $\epsilon_\phi = \pm 1$  if  $V_\phi \subset \mathfrak{m}_\pm$  (resp.  $\mathfrak{m}_-$ ). Whence

$$[D, v] = \text{card}(\{i, \gamma_i = \phi\}) \epsilon_\phi ic v.$$

Since  $\text{ad}(D)$  preserves  $\mathfrak{k}^{\mathbb{C}}$ ,  $\mathfrak{m}_+$  and  $\mathfrak{m}_-$ , it follows that for  $\gamma$  in  $\mathcal{A} \cap \mathcal{R}_+$ ,  $\text{card}(\{i, \gamma_i = \phi\}) = 0$  and for  $\gamma$  in  $\mathcal{B} \cap \mathcal{R}_+$ ,  $\text{card}(\{i, \gamma_i = \phi\}) = 1$ . Consequently  $\phi$  is of non-compact type.  $\Delta$

**Proposition 3.11** *Let  $\mathcal{O} = G/K$  be a Hermitian-symmetric irreducible affine coadjoint orbit of an  $L^*$ -group  $G$  of compact type, and  $\mathfrak{g} = \mathfrak{k} \oplus \mathfrak{m}$  the associated decomposition of the Lie algebra  $\mathfrak{g}$  of  $G$ , where  $\mathfrak{k}$  is the Lie algebra of the isotropy group  $K$ . Then there exists an increasing sequence of finite-dimensional subalgebras  $\mathfrak{g}_n$  of  $\mathfrak{g}$ , of the same type A, B, C or D, and an increasing sequence of subalgebras  $\mathfrak{k}_n$  of  $\mathfrak{k}$  such that*

1.  $\mathfrak{g} = \overline{\bigcup \mathfrak{g}_n}$
2.  $\mathfrak{k} = \overline{\bigcup \mathfrak{k}_n}$
3. for every  $n \in \mathbb{N} \setminus \{0\}$ , the orthogonal complement  $\mathfrak{m}_n$  of  $\mathfrak{k}_n$  in  $\mathfrak{g}_n$  satisfies

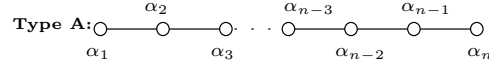
$$[\mathfrak{k}_n, \mathfrak{m}_n] \subset \mathfrak{m}_n \quad \text{and} \quad [\mathfrak{m}_n, \mathfrak{m}_n] \subset \mathfrak{k}_n,$$

hence  $(\mathfrak{g}_n, \mathfrak{k}_n)$  is a symmetric pair.

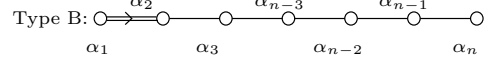
$\square$  **Proof of Proposition 3.11 :**

This is a direct consequence of Lemma 3.10, with  $\mathfrak{g}_n = \mathfrak{g} \cap \mathfrak{g}(\mathcal{N}_n)$  and  $\mathfrak{k}_n = \mathfrak{k} \cap \mathfrak{g}(\mathcal{N}_n)$ .  $\square$

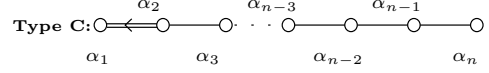
From the discussion above it follows that the classification of Hermitian-symmetric irreducible affine coadjoint orbits of  $L^*$ -groups of compact or non-compact type can be deduced from the knowledge of the simple roots of non-compact type of finite-dimensional simple complex algebras (see the proof of Theorem 1.1 below). A simple root of a simple finite-dimensional complex algebra is of non-compact



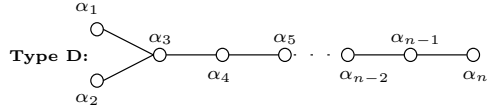
Every root  $\alpha_i$  is of non-compact type.



Only the root  $\alpha_n$  is of non-compact type.



Only the root  $\alpha_1$  is of non-compact type.



Only the roots  $\alpha_1, \alpha_2$  and  $\alpha_n$  are of non-compact type.

Table 1: Simple roots of non-compact type in the simple finite-dimensional Lie algebras of type A, B, C and D.

type if and only if it appears with the coefficient  $+1$  in the expression of the greatest root. We recall the list of simple roots of non-compact type in the finite-dimensional Lie algebras of type A, B, C, or D in tabular 1 (see [6] or [26]).

■ **Proof of Theorem 1.1 :**

By Lemma 3.9, there exists a unique simple root  $\phi$  in  $\mathcal{B}$  regardless to the ordering chosen on the set of non-zero roots  $\mathcal{R}$ . By Lemma 3.10 part 3.,  $\phi$  is a simple root of non-compact type for each finite-dimensional subalgebras  $\mathfrak{g}(\mathcal{N}_n)$  constructed in Lemma 3.10 part 2, when  $\mathcal{R}$  is endowed with the particular ordering constructed in Proposition 2.3. For this ordering, simple roots of  $\mathfrak{g}(\mathcal{N}_n)$  are simple roots of  $\mathfrak{g}^{\mathbb{C}}$ . It follows that the set of possible roots  $\phi$  can be deduced from tabular 1. Such a root  $\phi$  defines a unique symmetric pair of compact type  $(\mathfrak{g}, \mathfrak{k})$  with  $\mathfrak{g} = \{a \in \mathfrak{g}^{\mathbb{C}} \mid a + a^* = 0\}$ , and  $\mathfrak{k} = \{a \in \mathfrak{k}^{\mathbb{C}} \mid a + a^* = 0\}$  where  $\mathfrak{k}^{\mathbb{C}}$  is the  $L^*$ -algebra whose Dynkin diagram is obtained by removing  $\phi$  from the Dynkin diagram of  $\mathfrak{g}^{\mathbb{C}}$  ( $\mathfrak{k}^{\mathbb{C}}$  is the orthogonal complement of the vector space generated by the  $e_{\phi+\alpha}$ 's). One sees immediately that such a root  $\phi$  defines also a unique symmetric pair of non-compact type, the dual of  $(\mathfrak{g}, \mathfrak{k})$ , namely  $(\mathfrak{g}^{\text{n.c.}}, \mathfrak{k})$ , where  $\mathfrak{g}^{\text{n.c.}} := \mathfrak{k} \oplus i\mathfrak{m}$  and  $\mathfrak{m}$  denotes the orthogonal complement to  $\mathfrak{k}$  in  $\mathfrak{g}$ . ■

**Example 3.12** The Grassmannian  $\text{Gr}^{(p)} = \text{U}_2(\mathcal{H}) / (\text{U}_2(\mathcal{H}_p) \times \text{U}_2(\mathcal{H}_p^\perp))$  of  $p$ -dimensional subspaces of  $\mathcal{H}$  with  $\dim(\mathcal{H}_p) = p < +\infty$ , is the affine adjoint orbit of  $\text{U}_2(\mathcal{H})$  for the derivations defined by the bounded operators  $D_{k,l}^{(p)} = ik p_{\mathcal{H}_p} - il p_{\mathcal{H}_p^\perp}$ , where  $k, l \in \mathbb{R}$ ,  $k \neq -l$ , and  $p_{\mathcal{H}_p}$  (resp.  $p_{\mathcal{H}_p^\perp}$ ) is the orthogonal projection onto  $\mathcal{H}_p$  (resp.  $\mathcal{H}_p^\perp$ ). The homogeneous space  $\text{Gr}^{(p)}$  is therefore endowed with a one-parameter family of Kähler structures (encoded by  $(k+l)$ ). The derivation  $D_{k,l}^{(p)}$  is inner if and only if  $l = 0$ . For  $p = 1$ ,  $\text{Gr}^{(p)}$  is the projective space of  $\mathcal{H}$ .

The dual symmetric space of  $\text{Gr}^{(p)}$  is the homogeneous space  $\text{U}_2(\mathcal{H}_p, \mathcal{H}_p^\perp) / (\text{U}_2(\mathcal{H}_p) \times \text{U}_2(\mathcal{H}_p^\perp))$  where  $\text{U}_2(\mathcal{H}_p, \mathcal{H}_p^\perp)$  is the subgroup of  $\text{GL}_2(\mathcal{H})$  which preserves the indefinite Hermitian form  $\langle\langle \cdot, \cdot \rangle\rangle$  on  $\mathcal{H}$  defined by :

$$\langle\langle u, v \rangle\rangle = -\langle u_1, v_1 \rangle_{\mathcal{H}_p^\perp} + \langle u_2, v_2 \rangle_{\mathcal{H}_p},$$

where  $u = u_1 + u_2$ ,  $v = v_1 + v_2$  with  $u_1, v_1 \in \mathcal{H}_p^\perp$  and  $u_2, v_2 \in \mathcal{H}_p$ . It is the affine adjoint orbit of  $\text{U}_2(\mathcal{H}_p, \mathcal{H}_p^\perp)$  for the derivations  $D_{k,l}^{(p)}$ . It can be identified with the symmetric Hilbert domain :

$$\mathcal{A}^{(p)} = \{Z \in L^2(\mathcal{H}_p, \mathcal{H}_p^\perp), -Z^*Z + \text{id} > 0\},$$

where the notation  $-Z^*Z + \text{id} > 0$  means that the operator  $-Z^*Z + \text{id}$  is positive definite. In particular, for  $p = 1$ ,  $\mathcal{A}^{(1)}$  is the open unit ball in  $\mathcal{H}_1^\perp$ . Let us remark that  $\mathcal{A}^{(p)}$  is star-shaped hence connected and simply-connected. To see that  $\mathcal{A}^{(p)}$  is diffeomorphic to the homogeneous space  $U_2(\mathcal{H}_p, \mathcal{H}_p^\perp) / (U_2(\mathcal{H}_p) \times U_2(\mathcal{H}_p^\perp))$ , note that

$$U_2(\mathcal{H}_p, \mathcal{H}_p^\perp) = \left\{ g = \begin{pmatrix} A & B \\ C & D \end{pmatrix} \in GL_2(\mathcal{H}) \mid A^*A - C^*C = \text{id}_{\mathcal{H}_p^\perp}, D^*D - B^*B = \text{id}_{\mathcal{H}_p}, A^*B = C^*D \right\},$$

where the block decomposition of  $g$  is relative to the Hilbert sum  $\mathcal{H} = \mathcal{H}_p^\perp \oplus \mathcal{H}_p$ . In particular, for  $Z \in \mathcal{A}^{(p)}$ , one has

$$-(AZ + B)^*(AZ + B) + (CZ + D)^*(CZ + D) = -Z^*Z + 1 > 0,$$

which implies that  $(CZ + D)^*(CZ + D)$  is positive definite hence  $(CZ + D) \in GL(\mathcal{H}_p)$ . It follows that one can define an action of  $U_2(\mathcal{H}_p, \mathcal{H}_p^\perp)$  on  $\mathcal{A}^{(p)}$  by :

$$g \cdot Z = (AZ + B)(CZ + D)^{-1}, \quad (5)$$

where  $g = \begin{pmatrix} A & B \\ C & D \end{pmatrix}$  with respect to the decomposition  $\mathcal{H} = \mathcal{H}_p^\perp \oplus \mathcal{H}_p$ . This action is transitive since every  $Z \in \mathcal{A}^{(p)}$  can be written as

$$Z = \exp \begin{pmatrix} 0 & B \\ B^* & 0 \end{pmatrix} \cdot 0,$$

where

$$B = Z \frac{\text{argth}(Z^*Z)^{\frac{1}{2}}}{(Z^*Z)^{\frac{1}{2}}} \in L^2(\mathcal{H}_p, \mathcal{H}_p^\perp) \quad (6)$$

(this expression follows from Remark 6.5 in [13]). Another proof for the transitivity of the action (5) of  $U_2(\mathcal{H}_p, \mathcal{H}_p^\perp)$  on  $\mathcal{A}^{(p)}$  can be found in [14], Theorem III.9. Since the isotropy of  $0 \in \mathcal{A}^{(p)}$  is  $U_2(\mathcal{H}_p) \times U_2(\mathcal{H}_p^\perp)$ , one has :

$$\mathcal{A}^{(p)} = U_2(\mathcal{H}_p, \mathcal{H}_p^\perp) / (U_2(\mathcal{H}_p) \times U_2(\mathcal{H}_p^\perp)).$$

The Hermitian-symmetric space of non-compact type  $\mathcal{A}^{(p)}$  is a particular example of Finsler-Cartan-Hadamard manifold (see the Definition on p 124, Proposition 3.16, Proposition 3.15, and Theorem 3.6(iii) in [13]). It follows either from the general theory (Theorem 3.14 or Theorem 1.10 in [13]) or from equation (6) that

$$\begin{aligned} \exp : \left\{ \begin{pmatrix} 0 & B \\ B^* & 0 \end{pmatrix} \mid B \in L^2(\mathcal{H}_p, \mathcal{H}_p^\perp) \right\} &\longrightarrow \mathcal{A}^{(p)} \\ X &\longmapsto \exp X \cdot 0 \end{aligned}$$

is a diffeomorphism.

**Example 3.13** The restricted Grassmannian  $\text{Gr}_{\text{res}}$  has been studied in [15] and [27]. The connected component  $\text{Gr}_{\text{res}}^0$  of  $\text{Gr}_{\text{res}}$  containing  $\mathcal{H}_+$  is the affine adjoint orbit of  $U_2(\mathcal{H})$  for the derivations defined by the bounded operators  $D_{k,l}^{(\infty)} = ik p_+ - il p_-$ , where  $k, l \in \mathbb{R}$ ,  $k \neq -l$ , and  $p_\pm$  is the orthogonal projection onto  $\mathcal{H}_\pm$ . None of these derivations is inner.

As in the previous case, the dual Hermitian-symmetric space of the connected component  $\text{Gr}_{\text{res}}^0$  of the restricted Grassmannian is the homogeneous space  $U_2(\mathcal{H}_+, \mathcal{H}_-) / (U_2(\mathcal{H}_+) \times U_2(\mathcal{H}_-))$  where  $U_2(\mathcal{H}_+, \mathcal{H}_-)$  is the subgroup of  $GL_2(\mathcal{H})$  which preserves the indefinite Hermitian form  $\langle\langle \cdot, \cdot \rangle\rangle$  on  $\mathcal{H}$  defined by :

$$\langle\langle u, v \rangle\rangle = -\langle u_1, v_1 \rangle_{\mathcal{H}_-} + \langle u_2, v_2 \rangle_{\mathcal{H}_+},$$

where  $u = u_1 + u_2$ ,  $v = v_1 + v_2$  with  $u_1, v_1 \in \mathcal{H}_-$  and  $u_2, v_2 \in \mathcal{H}_+$ . It is the affine adjoint orbit of  $U_2(\mathcal{H}_+, \mathcal{H}_-)$  for the derivations  $D_{k,l}^{(\infty)}$ . It can be identified with the symmetric Hilbert domain :

$$\mathcal{A}^{(\infty)} = \{Z \in L^2(\mathcal{H}_+, \mathcal{H}_-) \mid -Z^*Z + \text{id} > 0\}.$$

**Example 3.14** Denote by  $g$  the real part of the Hermitian scalar product on  $\mathcal{H}$ . The Grassmannian  $\mathcal{Z}(\mathcal{H}) = O_2^+(\mathcal{H}^{\mathbb{R}})/U_2(\mathcal{H})$  is the space of complex structures  $I$  on  $\mathcal{H}^{\mathbb{R}}$  such that

$$g(IX, IY) = g(X, Y),$$

defining the same orientation as the distinguished complex structure  $I_0$  on  $\mathcal{H}$  and being closed to it. For every  $k \neq 0$ , the space  $\mathcal{Z}(\mathcal{H})$  can be identified with the  $O_2^+(\mathcal{H}^{\mathbb{R}})$ -affine adjoint orbit of 0 for the bounded operator  $D_k^{(0)} = kI_0$ . Denote by  $\mathcal{H}^{\mathbb{C}}$  the  $\mathbb{C}$ -extension of  $\mathcal{H}^{\mathbb{R}}$  and by  $Z_{\pm}$  the eigenspace of the  $\mathbb{C}$ -linear extension of  $I_0$  with eigenvalue  $\pm i$ . One has  $\mathcal{H}^{\mathbb{C}} = Z_+ \oplus Z_-$  as orthogonal sum with respect to the Hermitian scalar product on  $\mathcal{H}^{\mathbb{C}}$  which restricts to  $g$  on  $\mathcal{H}^{\mathbb{R}}$ . The homogeneous space  $\mathcal{Z}(\mathcal{H})$  injects into the restricted Grassmannian of the polarized Hilbert space  $\mathcal{H}^{\mathbb{C}} = Z_+ \oplus Z_-$  via the application which maps a complex structure  $I$  to the subspace of  $\mathcal{H}^{\mathbb{C}}$  consisting of  $(1, 0)$ -type vectors  $X$  with respect to  $I$ , i.e. satisfying  $IX = iX$ . This realizes  $\mathcal{Z}(\mathcal{H})$  as the totally geodesic submanifold of  $\text{Gr}_{\text{res}}^0$  consisting of maximal isotropic subspaces for the  $\mathbb{C}$ -linear extension  $g^{\mathbb{C}}$  of  $g$ . Starting with a basis  $\{e_n\}_{n \in \mathbb{Z} \setminus \{0\}}$  of  $\mathcal{H}$ , endow  $\mathcal{H}^{\mathbb{R}}$  with the basis  $\{e_n\}_{n \in \mathbb{Z} \setminus \{0\}} \cup \{I_0 e_n\}_{n \in \mathbb{Z} \setminus \{0\}}$ . Then  $Z_{\pm}$  is the  $\mathbb{C}$ -linear subspace of  $\mathcal{H}^{\mathbb{C}}$  generated by  $\{\frac{1}{\sqrt{2}}(e_n \mp iI_0 e_n)\}_{n \in \mathbb{Z} \setminus \{0\}}$ . With respect to these basis, the symmetric  $\mathbb{C}$ -bilinear form  $g^{\mathbb{C}}$  and the  $\mathbb{C}$ -linear extension of the operator  $D_k^{(0)}$  have the following decompositions as endomorphisms of  $\mathcal{H}^{\mathbb{C}} = Z_+ \oplus Z_-$ :

$$g^{\mathbb{C}} = \begin{pmatrix} 0 & \text{id} \\ \text{id} & 0 \end{pmatrix},$$

$$D_k^{(0)} = \begin{pmatrix} ik & 0 \\ 0 & -ik \end{pmatrix}.$$

It is easy to see that  $O_2^+(\mathcal{H}^{\mathbb{R}})$  (as defined in the Introduction) is conjugate to the connected component of  $O_2(\mathcal{H}^{\mathbb{C}}) \cap U_2(\mathcal{H}^{\mathbb{C}})$  where  $O_2(\mathcal{H}^{\mathbb{C}})$  denotes the complex  $L^*$ -group preserving  $g^{\mathbb{C}}$ .

The dual symmetric space of  $\mathcal{Z}(\mathcal{H})$  is the homogeneous space  $(O_2(\mathcal{H}^{\mathbb{C}}) \cap U_2(Z_+, Z_-))/U_2(Z_+)$  where  $U_2(Z_+, Z_-)$  is the subgroup of  $\text{GL}_2(\mathcal{H}^{\mathbb{C}})$  which preserves the indefinite Hermitian form  $\langle\langle \cdot, \cdot \rangle\rangle$  on  $\mathcal{H}^{\mathbb{C}}$  defined by:

$$\langle\langle u, v \rangle\rangle = -\langle u_1, v_1 \rangle_{Z_-} + \langle u_2, v_2 \rangle_{Z_+},$$

where  $u = u_1 + u_2$ ,  $v = v_1 + v_2$  with  $u_1, v_1 \in Z_-$  and  $u_2, v_2 \in Z_+$ . It is the affine adjoint orbit of  $O_2(\mathcal{H}^{\mathbb{C}}) \cap U_2(Z_+, Z_-)$  for the derivations  $D_k^{(0)}$ ,  $k \neq 0$ . It can be identified with the symmetric Hilbert domain:

$$\mathcal{B}^{(\infty)} = \{Z \in L^2(Z_+, Z_-) \mid Z^T + Z = 0, -Z^*Z + \text{id} > 0\}.$$

**Example 3.15** The Grassmannian  $\mathcal{L}(\mathcal{H}) = \text{Sp}_2(\mathcal{H})/U_2(\mathcal{H}_+)$  of Lagrangian subspaces close to  $\mathcal{H}_+$  is the  $\text{Sp}_2(\mathcal{H})$ -affine adjoint orbit of 0 for the derivations given by the bounded operators  $D_{l,l}^{(\infty)} = ilp_+ - ilp_-$ ,  $l \neq 0$ . It is a totally geodesic submanifold of the restricted Grassmannian  $\text{Gr}_{\text{res}}^0$ .

The dual symmetric space of  $\mathcal{L}(\mathcal{H})$  is the homogeneous space  $\text{Sp}_2(\mathcal{H}, \mathbb{C}) \cap U_2(H_+, H_-)/U_2(H_+)$ , where  $\text{Sp}_2(\mathcal{H}, \mathbb{C})$  is the complex  $L^*$ -group preserving the  $\mathbb{C}$ -bilinear antisymmetric form  $\omega(X, Y) = X^T J_0 Y$ . It is the affine adjoint orbit of  $\text{Sp}_2(\mathcal{H}, \mathbb{C}) \cap U_2(H_+, H_-)$  for the derivations  $D_{l,l}^{(\infty)}$ ,  $l \neq 0$ . It can be identified with the symmetric Hilbert domain:

$$\mathcal{C}^{(\infty)} = \{Z \in L^2(Z_+, Z_-) \mid Z^T = Z, -Z^*Z + \text{id} > 0\}.$$

Note that  $\text{Sp}_2(\mathcal{H}, \mathbb{C}) \cap U_2(H_+, H_-)$  is conjugate to

$$\text{Sp}_2(\mathcal{H}_{\mathbb{R}}) := \{g \in \text{GL}_2(\mathcal{H}_{\mathbb{R}}) \mid g^T J_0 g = J_0\},$$

hence  $\mathcal{C}^{(\infty)} = \text{Sp}_2(\mathcal{H}_{\mathbb{R}})/U_2(\mathcal{H}_{\mathbb{R}}, J_0)$  where  $U_2(\mathcal{H}_{\mathbb{R}}, J_0)$  denotes the unitary group of the Hilbert space  $\mathcal{H}_{\mathbb{R}}$  endowed with the complex structure  $J_0$ .

**Example 3.16** Recall that  $\mathcal{H}_{\mathbb{R}}$  is a real Hilbert space with basis  $\{e_n\}_{n \in \mathbb{Z} \setminus \{0\}}$  and that  $(\mathcal{H}_2)_{\mathbb{R}}$  denotes the real subspace generated by  $e_1$  and  $e_2$ . The space  $\text{Gr}_{\text{or}}^{(2)} = O_2^+(\mathcal{H}_{\mathbb{R}}) / (SO((\mathcal{H}_2)_{\mathbb{R}}) \times O_2^+(\mathcal{H}_2)_{\mathbb{R}})$  is the Grassmannian of oriented 2-planes in  $\mathcal{H}_{\mathbb{R}}$  and the  $O_2^+(\mathcal{H}_{\mathbb{R}})$ -adjoint orbit of  $kJ$  where  $k \neq 0$  and  $J$  is the natural complex structure on  $(\mathcal{H}_2)_{\mathbb{R}}$ . Via the map which assigns to an oriented 2-plane of  $\mathcal{H}_{\mathbb{R}}$  with

orthonormal basis  $\{u, v\}$  the complex line  $\mathbb{C}(u + iv) \in \mathbb{P}(\mathcal{H})$ , the Grassmannian  $\text{Gr}_{\text{or}}^{(2)}$  can be identified as complex manifold with the quadric  $\mathcal{C}$  in the complex projective space  $\mathbb{P}(\mathcal{H})$  defined by

$$\mathcal{C} := \left\{ [z] = \left[ \sum_{i \in \mathbb{Z} \setminus \{0\}} z_i e_i \right] \in \mathbb{P}(\mathcal{H}) \mid \sum_{i \in \mathbb{Z} \setminus \{0\}} z_i^2 = 0 \right\}.$$

The dual Hermitian-symmetric space of  $\text{Gr}_{\text{or}}^{(2)}$  is the homogeneous space

$$O_2^+((\mathcal{H}_2)_{\mathbb{R}}, (\mathcal{H}_2)_{\mathbb{R}}^{\perp}) / (SO((\mathcal{H}_2)_{\mathbb{R}}) \times O_2^+((\mathcal{H}_2)_{\mathbb{R}}^{\perp}))$$

where  $O_2^+((\mathcal{H}_2)_{\mathbb{R}}, (\mathcal{H}_2)_{\mathbb{R}}^{\perp})$  is the subgroup of  $\text{GL}_2(\mathcal{H}_{\mathbb{R}})$  which preserves the indefinite symmetric form  $((, ))$  on  $\mathcal{H}_{\mathbb{R}}$  defined by :

$$((u, v)) = u_1 v_1 + u_2 v_2 - \sum_{i \in \mathbb{Z} \setminus \{0, 1, 2\}} u_i v_i,$$

where  $u = \sum_{i \in \mathbb{Z} \setminus \{0\}} u_i e_i$  and  $v = \sum_{i \in \mathbb{Z} \setminus \{0\}} v_i e_i$ . It is the  $O_2^+((\mathcal{H}_2)_{\mathbb{R}}, (\mathcal{H}_2)_{\mathbb{R}}^{\perp})$ -adjoint orbit for the derivations given by the bounded operators  $kJ$  for  $k \neq 0$ . It can be identified with the symmetric Hilbert domain :

$$\mathcal{D}^2 = \{Z \in \mathcal{H}_2^{\perp} \mid 1 + |Z^T Z|^2 - 2Z^* Z > 0, -Z^* Z + 1 > 0\},$$

where  $|Z^T Z|^2 = Z^* \bar{Z} Z^T Z$ . (see [25] p 350–351).

**Corollary 3.17** *Every infinite-dimensional irreducible Hermitian-symmetric affine (co-)adjoint orbit of a connected  $L^*$ -group of non-compact type with simple complexification is isomorphic to one of the following symmetric Hilbert domains :*

1.  $\mathcal{A}^{(p)} = \{Z \in L^2(\mathcal{H}_p, \mathcal{H}_p^{\perp}) \mid -Z^* Z + \text{id} > 0\}$  ;
2.  $\mathcal{A}^{(\infty)} = \{Z \in L^2(\mathcal{H}_+, \mathcal{H}_-) \mid -Z^* Z + \text{id} > 0\}$  ;
3.  $\mathcal{B}^{(\infty)} = \{Z \in L^2(Z_+, Z_-) \mid -Z^* Z + \text{id} > 0, Z^T + Z = 0\}$  ;
4.  $\mathcal{C}^{(\infty)} = \{Z \in L^2(Z_+, Z_-) \mid -Z^* Z + \text{id} > 0, Z^T = Z\}$  ;
5.  $\mathcal{D}^2 = \{Z \in \mathcal{H}_2^{\perp} \mid -Z^* Z + 1 > 0, 1 + |Z^T Z|^2 - 2Z^* Z > 0\}$ .

□ **Proof of Corollary 3.17 :**

Let  $\mathcal{O}^{\text{n.c.}}$  be an infinite-dimensional irreducible Hermitian-symmetric affine (co-)adjoint orbit of a non-compact  $L^*$ -group  $G^{\text{n.c.}}$  with simple complexification. Let  $\mathfrak{g}^{\text{n.c.}}$  be the Lie algebra of  $G^{\text{n.c.}}$ . Since  $(\mathfrak{g}^{\text{n.c.}})^{\mathbb{C}}$  is simple,  $\mathfrak{g}^{\text{n.c.}}$  is itself simple. Moreover we can suppose w.l.o.g. ([16]) that  $(\mathfrak{g}^{\text{n.c.}})^{\mathbb{C}}$  is either  $\mathfrak{gl}_2(\mathcal{H})$ ,  $\mathfrak{o}_2(\mathcal{H}^{\mathbb{C}})$  or  $\mathfrak{sp}_2(\mathcal{H}, \mathbb{C})$ , where  $\mathfrak{o}_2(\mathcal{H}^{\mathbb{C}})$  (resp.  $\mathfrak{sp}_2(\mathcal{H}, \mathbb{C})$ ) is the Lie algebra of  $O_2(\mathcal{H}^{\mathbb{C}})$  (resp.  $\text{Sp}_2(\mathcal{H}, \mathbb{C})$ ) introduced in Example 3.14 (resp. in Example 3.15).

Since  $\mathcal{O}^{\text{n.c.}}$  is in particular strongly symplectic, by Theorem 4.4 in [12], the derivation defining  $\mathcal{O}^{\text{n.c.}}$  can be written as  $\mathbb{D}x = [D, x]$  where  $D$  is a skew-Hermitian operator with finite spectrum. It follows that  $\mathfrak{k} := \text{Ker } \mathbb{D}$  is  $*$ -invariant. Since  $\mathfrak{g}^{\text{n.c.}}$  is in particular semi-simple, one has  $\langle x, y \rangle = \langle y^*, x^* \rangle$  for every  $x, y$  in  $\mathfrak{g}^{\text{n.c.}}$ . Hence the orthogonal complement  $\mathfrak{n}$  of  $\mathfrak{k}$  in  $\mathfrak{g}^{\text{n.c.}}$  is also  $*$ -invariant. Denote by  $K$  the isotropy subgroup of  $G^{\text{n.c.}}$  fixing 0. Since  $\mathfrak{n}$  is an irreducible  $K$ -module, the bilinear form  $b$  on  $\mathfrak{n}$  defining the Riemannian metric of  $\mathcal{O}^{\text{n.c.}}$  is proportional to the trace, that is :  $b(x, y) = \lambda \text{Tr} xy$ , for  $x, y$  in  $\mathfrak{n}$  for some non-zero  $\lambda \in \mathbb{R}$ . The condition  $b(x, x) > 0$  for  $x \neq 0$  together with the  $*$ -invariance of  $\mathfrak{n}$  then implies that either  $\mathfrak{n} \subset \{x \in \mathfrak{g}^{\text{n.c.}} \mid x^* = x\}$  or  $\mathfrak{n} \subset \{x \in \mathfrak{g}^{\text{n.c.}} \mid x^* = -x\}$ . Since  $\mathfrak{g}^{\text{n.c.}}$  is non-compact, the second possibility is fulfilled. Hence  $\mathfrak{g} := \mathfrak{k} \oplus i\mathfrak{n}$  is a  $L^*$ -algebra of compact type, which is simple. Let  $G$  be the connected  $L^*$ -group generated by the exponentials of operator in  $\mathfrak{g}$ . The affine coadjoint orbit of  $G$  defined by the derivation  $[D, \cdot]$  is infinite-dimensional irreducible and Hermitian-symmetric, hence is isomorphic to one of the affine adjoint orbits listed in Theorem 1.1. The corollary then follows by duality (see Examples 3.12-3.16). □

□ **Proof of Corollary 1.2 :**

By Theorem (3.9) and the discussion after Theorem (4.2) in [11], every Hermitian-symmetric space of compact (resp. non-compact) type is isomorphic to the orthogonal product of irreducible Hermitian-symmetric spaces of compact (resp. non-compact) type. The irreducible pieces are of type I-VI and described in paragraph 3 in [10]. The types V and VI correspond to the exceptional Lie groups E6

and E7, which are of finite dimension. By the finite-dimensional theory, finite-dimensional Hermitian-symmetric spaces are coadjoint orbits of their groups of isometries (see e.g. Theorem 8.89 in [4]). An infinite-dimensional irreducible Hermitian-symmetric space is of type I, II, III or IV, and is isomorphic (see paragraph 3 in [10]) to one of the affine coadjoint orbits listed in Theorem 1.1 or Corollary 3.17. Both the restricted Grassmannian and the Grassmannian of  $p$ -dimensional subspaces of a separable Hilbert space, with  $p < +\infty$ , are Hermitian-symmetric spaces of type I. Now the theorem follows by taking the product of the  $L^*$ -groups acting on each irreducible pieces.

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